



To: Commission
From: Michael Dunn, Esq., Political Committee Registrar
Date: July 21, 2021
Re: Leadership PAC Investigation – Charlotte PAC

On November 18, 2020, the Commission authorized an enhanced compliance review of fifteen (15) political action committees that, in 2020, were led by Legislators and raised or spent over \$5,000 in the year. The Commission staff sent the Charlotte (the “PAC”) an initial request for records on 12/08/2020. ETH. 33-34. Those records were received on or before January 31, 2021, and a supplemental request for records was sent on 03/09/2021. ETH. 35-36. Upon reviewing the information provided by the PAC, the Commission staff found four potential violation and two exceptions.

On 06/07/2021, the Commission staff mailed its preliminary findings to the PAC’s officers requesting comment. ETH. 25-32. The Commission staff requested that any response be provided by 06/28/2021. On 07/13/2021, the Commission received five emails and supporting documents from the PAC. ETH. 1-24. The Commission staff is recommending a total penalty of \$400 for four potential violations.

LEGAL REQUIREMENTS

Receipt Retention. The treasurer of a PAC shall retain a vendor invoice or receipt stating the particular goods or services purchased for every expenditure in excess of \$50 to initiate or influence a campaign. 21-A M.R.S. § 1057(2).

Reporting Contributions. A PAC who receives more than \$50 in contributions from a person must itemize their contributions and include the person’s name, occupation, place of business, and mailing address. 21-A M.R.S. § 1060(6).

Reporting Expenditures. A PAC must report all expenditures to initiate or influence a campaign and include the payee, purpose, whether it was to support or oppose a candidate or referendum. 21-A M.R.S. § 1060(4). The PAC must also report operational

expenditures that are not made for the purpose of influencing a campaign. 21-A M.R.S. § 1060(4).

Substantial Misreporting. A person that files a campaign finance report that substantially misreports contributions, expenditures, or other campaign activity may be assessed a penalty not to exceed \$5,000. 21-A M.R.S. § 1004-A(4).

Self-Enrichment. A PAC may reimburse a Legislator, who is also an officer of the PAC, for purchases made on behalf of the PAC and for travel expenses. 21-A M.R.S. § 1054-B. Allowable reimbursements do not include payments made for the purpose of personal financial enrichment of the Legislator. Id.

Lobbyist Contributions. A member of the Legislature may not intentionally solicit or accept a contribution from a lobbyist during any period of time in which the Legislature is convened before final adjournment. 1 M.R.S. § 1015 (Repealed). The Commission may assess a fine of up to \$1,000 to a person who violates this section. Id.

ANALYSIS AND STAFF RECOMMENDATION

During the Commission staff's review of the PAC's records, the Commission staff flagged five issues for further review:

1. Failure to Maintain Receipts;
2. Failure to Report Transactions;
3. Contributions from a Registered Lobbyist;
4. Potential Self-Enrichment; and
5. Inaccurate Transactions.

Failure to Maintain Receipts

A PAC shall retain a vendor invoice or receipt stating the particular goods or services purchased for every expenditure in excess of \$50. 21-A M.R.S. § 1057. After providing the supplemental records in response to the Commission staff's March 9th letter, Commission staff determined that the PAC was missing the following receipts:

- 01/10/2020 expenditure at Cross Café for \$114
- 02/09/2020 expenditure to Margaret Chase Smith Policy Center (for Reception) for \$107.72

- 02/20/2020 expenditure to WAND (for conference) for \$150
- 02/22/2020 expenditure to Bombay Mahal for \$54.30
- 03/07/2020 expenditure to Staples for \$118.17
- 07/24/2020 expenditure to Constant Contact for \$68.58
- 1/19/2020 expenditure to NAACP Portland (Dinner) for \$81.20

These missing receipts represented approximately 33% of the receipts that the PAC was required to retain in 2020. After receiving the staff's preliminary findings, the PAC submitted receipts for four¹ of the above transactions. Only the receipt for Cross Café appears to be an original, while the remaining receipts were copies obtained in response to the Commission staff's inquiries and potential financial penalties.

In the view of the Commission staff, the purpose of this provision is for the PAC to maintain itemized records of its expenditures from third parties. The ability to obtain copies of the receipts from vendors does not appear to meet the spirit of the provision, and the PAC cannot be said to have “**retain**[ed] a vendor invoice or receipt” for these expenditures.

A committee that fails to keep records required by this chapter may be assessed a fine of up to \$10,000 or the amount of financial activity for which no records were kept, whichever is greater. 21-A M.R.S. § 1062-B. In assessing a fine, the Commission shall consider, among other things, whether the violation was intention, whether the violation occurred as the result of an error by someone outside the control of the committee, whether the committee intended to conceal its financial activity, the amount of financial activity that was not documented, and the level of experience of the committee's staff. 21-A M.R.S. § 1062-B.

The Commission staff accepts that there was no intent to deceive the public or intentionally violate the Act. The Commission staff would accept that these missing receipts were due to poor record keeping. The Commission staff recommends that the Commission find a potential violation and impose a financial penalty of \$250 for the missing receipts.

¹ Cross Café, Margaret Chase Smith Policy Center, Staples, and Constant Contact.

Failure to Report Transactions

A PAC is required to report all expenditures to support or oppose candidates and all operational expenditures. 21-A M.R.S. § 1060. The Commission staff flagged seven² issues relating to failure to report transactions.

Betsy Sweet. On 06/29/2020, the PAC made a \$100 contribution to support Betsy Sweet. ETH. 50. This contribution was not reported by the PAC in its 11-Day Pre-Primary Election Report. The PAC explains that Rep. Warren accidentally made the contribution from the PAC account instead of her personal account. ETH. 1. Rep. Warren reimbursed the PAC on 07/24/2020 for that expenditure. ETH. 1. The Commission staff considers this issue to be resolved and recommends no further action.

Bangor Residence Inn. The PAC reported a contribution of \$250 from Drummond Woodsum being received on 08/06/2020. The contribution, however, was from Bangor Residence Inn.³ The Commission staff considers this issue to be a potential violation.

Maureen AuCoin for Mayor. The PAC made a \$400 contribution to Maureen AuCoin for Mayor on 08/15/2020, which the PAC did not report. Rep. Warren indicated to the Commission staff that the system would not allow her to enter the transaction. Rep. Warren did not contact Commission staff to resolve that issue at the time.

² After receiving additional documents from the PAC. The Commission staff determined that two of the issues were a direct cause of the issues listed here. The final issue Commission staff noted was the result of a transposing error by the Commission staff.

³ The check was also made out to her candidate campaign. It is possible that these checks were accidentally swapped but the Commission staff has received no record of the Drummond Woodsum deposit.

The Commission’s website allows a PAC to indicate whether a payment is to support or oppose a candidate by providing a checkbox for the PAC, shown below:

The screenshot shows a web form titled "New Expenditure". At the top, there are two input fields: "Expenditure Amount" and "Expenditure Date". Below these, there are two checkboxes. The first checkbox is checked and labeled "This expenditure is to support or oppose a candidate". A black arrow points to this checked checkbox. The second checkbox is unchecked and labeled "This expenditure is to support or oppose a ballot question". Below the checkboxes is a text area for "Explanation of Purpose". Underneath is a section titled "Candidates Associated to this Expenditure" with an "ADD CANDIDATE" button. Below that is a "Select Candidate" dropdown menu. Underneath is a "Candidate Information" section with an "Amount" input field. At the bottom of this section, there is a question "Do you support or oppose this candidate?" with two buttons: "SUPPORT" and "OPPOSE". A "SAVE" button is located at the bottom right of the form.

The system then pulls from the list of statewide candidates. Non-statewide candidates, such as municipal or federal candidates, do not appear on this list. To enter a non-statewide candidate, the PAC would leave that box unchecked and indicate in the expenditure description that the payment was to support a candidate. The Commission staff considers this issue to be a potential violation.

Maine Bankers Association. The PAC received a contribution of \$500 received on 09/24/2020 from the Maine Bankers Associations that was not. The PAC acknowledges that this was an error. The Commission staff considers this to be a potential violation.

Potential Financial Penalty. The Commission staff recommends finding three potential violations for failing to report transactions for the Bangor Residence Inn, Maureen AuCoin for Mayor, and the Maine Bankers Association. The Commission staff does not believe that these potential violations would make the associated reports substantially non-conforming under 21-A M.R.S. § 1062-A(2). The transactions themselves, however, would have been substantially misreported by their omissions. 21-A M.R.S. § 1004-A(4). Under this penalty section, the Commission could impose a penalty of up to \$5,000 for these violations. The Commission staff recommends that the Commission find three violations and impose a total financial penalty of \$150.

Contributions from a Registered Lobbyist

When the Legislature is in session a Legislator may not accept a contribution from a registered lobbyist. 1 M.R.S. § 1015 (Repealed). On 01/08/2020, the PAC reported a \$200 contribution from Mr. Jake Mitchell, who was a registered lobbyist at the time. The Legislature convened on the same day, 01/08/2020, at 10:00 AM.

The PAC has indicated that the contribution was made prior to 10:00 AM on 01/08/2020. ETH. 2. The Commission staff accepts the PAC's statement of events. For this reason, the Commission staff considers this issue resolved and recommends no further action.

Potential Self-Enrichment

A PAC may reimburse a Legislator for expenses incurred in the proper performance of their duties, for purchases made on behalf of the PAC, or for travel expenses associated with volunteering for the PAC. 21-A M.R.S. § 1054-B. Allowable reimbursements for expenses do not include payments that are determined by the Commission to be for the purpose of the personal financial enrichment of the Legislator. Id.

On 06/11/2020, the PAC made an expenditure of \$213.86 to Verizon. ETH. 54. This payment covered two months of bills for Rep. Warren's primary phone (as listed on her 2020 candidate account and PAC account). ETH. 54. This payment was the only time the PAC paid for the cell phone. ETH. 54.

The Commission staff noted this expenditure because it seemed reasonable that the bill was paid by the PAC to avoid a disconnection by Verizon. The Commission has not provided a strong guidance to staff on what types of activities are personal enrichment by a Legislator. If the Commission considers this payment to be a violation, then Commission staff would recommend no further action because Rep. Warren has reimbursed the PAC for that payment. ETH. 23.

Inaccurate Transactions

A PAC must accurately report its expenditure. 21-A M.R.S. §§ 1054-A, 1060. On 10/05/2020, the PAC reported paying ActBlue fees of \$29.48, but the ActBlue statement shows that fees of \$25.53 were paid. ETH. 57. The PAC also reported a payment of \$57.95 to Westin, occurring on 03/13/2020, but the payment was made on 01/13/2020.

ETH. 41. The Commission staff considers these issues to be exceptions due to their minimal nature and recommends no further action.

CONCLUSION

The Commission staff recommends that the Commission impose a \$400 penalty for failing to retain receipts and omitting transactions from the PAC's campaign finance reports.

From: repcharlottewarren@gmail.com
To: [Wayne, Jonathan](mailto:Wayne_Jonathan); c.warren.consulting@gmail.com; charwarren04347@gmail.com
Cc: [Dunn, Michael](mailto:Dunn_Michael); [Currier, Martha](mailto:Currier_Martha)
Subject: RE: Charlotte PAC - Compliance Review by Ethics Commission
Date: Tuesday, July 13, 2021 3:10:34 PM
Attachments: [SCAN0284.PDF](#)

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Regarding the deposit of \$183.68 on 7/24/2020, that was unaccounted for, they were three mistakes where I had used the PAC card instead of my personal debit card (they look exactly alike). Upon going through the PAC materials I realized the mistakes had been made and I reimbursed the PAC account. The first was a check for \$100 to the PAC (Betsy Sweet contribution) and then two more mistakes, the check copies are attached. Those three checks make up that deposit.

From: Wayne, Jonathan <Jonathan.Wayne@maine.gov>
Sent: Friday, July 9, 2021 9:07 AM
To: 'Representative Charlotte Warren' <repcharlottewarren@gmail.com>; 'c.warren.consulting@gmail.com' <c.warren.consulting@gmail.com>; 'charwarren04347@gmail.com' <charwarren04347@gmail.com>
Cc: Dunn, Michael <Michael.Dunn@maine.gov>; Currier, Martha <Martha.Currier@maine.gov>
Subject: Charlotte PAC - Compliance Review by Ethics Commission

Charlotte,

Our Commissioners asked us to conduct a review of 2020 leadership PACs. We are about to report back to the Commission at a July 30 public meeting and the Commissioners will be making violations and assessing penalties.

Do you want to respond to the attached findings by my colleague Michael Dunn, which he sent to you at the beginning of June 7, 2020? We could consider your response if you submit it no later than Wednesday, July 14.

In particular:

- Do you know if the contribution that Charlotte PAC received from lobbyist Jake Mitchell on January 8, 2020 was made before legislative session began on that same day?
- The Commission may decide whether the PAC's payment for two months of your personal phone bill constituted personal financial enrichment in violation of 21-A M.R.S. § 1054-B. Is there anything you would like the Commission to know about this use of PAC funds?

Thank you for whatever information you can provide.

Jonathan Wayne

From: charwarren04347@gmail.com
To: [Wayne, Jonathan](mailto:Wayne_Jonathan); repcharlottewarren@gmail.com; c.warren.consulting@gmail.com
Cc: [Dunn, Michael](mailto:Dunn_Michael); [Currier, Martha](mailto:Currier_Martha)
Subject: Regarding Jake Mitchell contribution
Date: Tuesday, July 13, 2021 2:39:24 PM

EXTERNAL: This email originated from outside of the State of Maine Mail System. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Thank you for your question regarding this contribution. Jake and I had been trying to find a time to get together, and failed to do so ahead of the day that session was starting. Because we are both well aware of the rules and would not violate them, I met Jake at his office on Sewall St. in Augusta the morning before I headed to the state house for the beginning of our first session day. That morning, before the session began, was when he made his contribution.

Charlotte

From: Wayne, Jonathan <Jonathan.Wayne@maine.gov>
Sent: Monday, July 12, 2021 9:43 AM
To: repcharlottewarren@gmail.com; c.warren.consulting@gmail.com; charwarren04347@gmail.com
Cc: [Dunn, Michael <Michael.Dunn@maine.gov>](mailto:Michael.Dunn@maine.gov); [Currier, Martha <Martha.Currier@maine.gov>](mailto:Martha.Currier@maine.gov)
Subject: RE: Charlotte PAC - Compliance Review by Ethics Commission

You are correct that the timing was ... not ideal, but we didn't want put the project on hold and there were other factors involved. We hoped if people wanted to respond but couldn't get to it, they would ask for some extra time after the session ended. Thank you for taking the time to respond.

Jonathan

From: repcharlottewarren@gmail.com <repcharlottewarren@gmail.com>
Sent: Monday, July 12, 2021 9:37 AM
To: [Wayne, Jonathan <Jonathan.Wayne@maine.gov>](mailto:Wayne_Jonathan); c.warren.consulting@gmail.com; charwarren04347@gmail.com
Cc: [Dunn, Michael <Michael.Dunn@maine.gov>](mailto:Michael.Dunn@maine.gov); [Currier, Martha <Martha.Currier@maine.gov>](mailto:Martha.Currier@maine.gov)
Subject: RE: Charlotte PAC - Compliance Review by Ethics Commission

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I have to say I was surprised that the commission decided to report to us -- and ask for response in the absolute craziest time of the legislative session. I will respond by Wednesday. Thank you for the opportunity to do so.

Charlotte

From: Wayne, Jonathan <Jonathan.Wayne@maine.gov>
Sent: Friday, July 9, 2021 9:07 AM
To: 'Representative Charlotte Warren' <repcharlottewarren@gmail.com>;
'c.warren.consulting@gmail.com' <c.warren.consulting@gmail.com>;
'charwarren04347@gmail.com' <charwarren04347@gmail.com>
Cc: Dunn, Michael <Michael.Dunn@maine.gov>; Currier, Martha <Martha.Currier@maine.gov>
Subject: Charlotte PAC - Compliance Review by Ethics Commission

Charlotte,

Our Commissioners asked us to conduct a review of 2020 leadership PACs. We are about to report back to the Commission at a July 30 public meeting and the Commissioners will be making violations and assessing penalties.

Do you want to respond to the attached findings by my colleague Michael Dunn, which he sent to you at the beginning of June 7, 2020? We could consider your response if you submit it no later than Wednesday, July 14.

In particular:

- Do you know if the contribution that Charlotte PAC received from lobbyist Jake Mitchell on January 8, 2020 was made before legislative session began on that same day?
- The Commission may decide whether the PAC's payment for two months of your personal phone bill constituted personal financial enrichment in violation of 21-A M.R.S. § 1054-B. Is there anything you would like the Commission to know about this use of PAC funds?

Thank you for whatever information you can provide.

Jonathan Wayne
Executive Director
Maine Ethics Commission
135 SHS
Augusta, ME 04333
287-4179

From: [Representative Charlotte Warren](#)
To: [Dunn, Michael](#); [Wayne, Jonathan](#); [Currier, Martha](#)
Subject: Re: EMAIL FIVE \$400 on 08 28 2020
Date: Wednesday, July 14, 2021 9:39:58 AM

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Good morning,

I am resending this email as a response to page 4 of the letter sent to me from Mr. Dunn Re: Leadership PAC Review -- CHARLOTTE PAC. I will be calling as a follow up.

On Fri, Mar 19, 2021 at 11:59 AM <repcharlottewarren@gmail.com> wrote:

From: Brann, Lorrie J <Lorrie.J.Brann@maine.gov> **On Behalf Of** Dunn, Michael
Sent: Tuesday, March 9, 2021 9:04 AM
To: REPCHARLOTTEWARREN@GMAIL.COM; SHAWNEWARREN@GMAIL.COM
Cc: Dunn, Michael <Michael.Dunn@maine.gov>
Subject: Leadership PAC Review - Request for Additional Information

Good morning Rep. Warren and Mr. Warren:

Thank you for submitting the requested information. Attached is a letter that requests for additional information. The letter has also been mailed to you.

Please do not hesitate to contact me if you have any questions regarding the attached letter.

Sincerely,

Michael J. Dunn, Esq.

Political Committee and Lobbyist Registrar

Maine Ethics Commission

135 State House Station
Augusta, Maine 04333

Phone: (207) 287-4179 Fax: (207) 287-6775

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--

Representative Charlotte Warren, M.A.Ed., M.S.W.

House Chair, Criminal Justice and Public Safety Committee

House District #84: Hallowell, Manchester and West Gardiner

Cell: 207.441.9116

Charlotte.Warren@legislature.maine.gov

Please be advised that any information sent to me in my capacity as a legislator may become a matter of public record as indicated in the Maine [Freedom of Access Act](#).

From: charwarren04347@gmail.com
To: [Dunn, Michael](#)
Subject: memo with my comments
Date: Wednesday, July 14, 2021 10:08:09 AM
Attachments: [SCAN0285.PDF](#)

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This should be helpful.

Let me know if you have any questions about it.

Representative Charlotte Warren, M.A.Ed., M.S.W.
House Chair, Criminal Justice and Public Safety Committee
House District #84: Hallowell, Manchester and West Gardiner
Cell: 207.441.9116
Charlotte.Warren@legislature.maine.gov

Please be advised that any information sent to me in my capacity as a legislator may become a matter of public record as indicated in the Maine Freedom of Access Act.

From: repcharlottewarren@gmail.com
To: [Dunn, Michael](#)
Cc: [Wayne, Jonathan](#)
Subject: Sending receipt & invoice.
Date: Friday, July 16, 2021 11:25:12 AM
Attachments: [WOP Receipt 2.9.20 Warren.pdf](#)
[SCAN0286.PDF](#)

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Good morning Michael,

Here is the Women in Power conference receipt from 2/9/20

And the Women in Government receipt from 2/20/2020

**I have also resent a copy of page 3 of the memo showing that of the 7 receipts I failed to submit to you initially, I have submitted 5 of them to you.

Thank you, I look forward to hearing an updated report.

Charlotte

===== SECURITY STATEMENT =====

It is not recommended that you ship product(s) or otherwise grant services relying solely upon this e-mail receipt.

===== GENERAL INFORMATION =====

Merchant : WOMEN IN GOVERNMENT - CSG (2150911) Date/Time : 20-February-2020 21:10:40 EDT

===== ORDER INFORMATION =====

Invoice : 5NN8YQN3NZW
Description : Goods or Services
Amount : 150.00 (USD)
Payment Method: Visa xxxx1725
Transaction Type: Credit

===== Line Items =====

===== RESULTS =====

Response : This transaction has been approved.
Auth Code :
Transaction ID : 41998644109
Address Verification : AVS Not Applicable

==== CUSTOMER BILLING INFORMATION ===

Customer ID : 5CNVRLCKXFN
First Name : Charlotte M
Last Name : Warren
Company : MAINE (ME)
Address : 19 Oakwood Drive
City : Hallowell
State/Province : ME
Zip/Postal Code : 04347
Country : USA
Phone : 2074419116
Fax :
E-Mail : charwarren04347@gmail.com

==== CUSTOMER SHIPPING INFORMATION ===

First Name :
Last Name :
Company :
Address :
City :
State/Province :
Zip/Postal Code :
Country :

===== ADDITIONAL INFORMATION =====

Tax :
Duty :
Freight :
Tax Exempt :
PO Number : WIG 2020 National Legisla

Laura Blake

Outreach & Development Manager
Women In Government | 444 N. Capitol St NW, Suite 401 | Washington, DC | 22209
Email: lblake@womeningovernment.org
Office: (202) 434-4854 | Mobile: (716) 880-7177
www.womeningovernment.org
Pronouns: she/her/hers



From: Women's Action for New Directions <peace@wand.org>
Sent: Thursday, July 15, 2021 9:47 AM
To: Representative Charlotte Warren <repcharlottewarren@gmail.com>
Cc: Laura Blake <lblake@womeningovernment.org>
Subject: Re: Looking for a receipt or invoice.

Hi Rep. Warren,

We are not WIG, we are WAND, Women's Action for New Directions.

I'm copying in this person, who may be able to help you:

OUTREACH AND DEVELOPMENT MANAGER

Laura Blake

lblake@womeningovernment.org

202-434-4854

On Wed, Jul 14, 2021 at 12:32 PM Representative Charlotte Warren
<repcharlottewarren@gmail.com> wrote:

Good afternoon,

Resending this email. Hoping I get a response to this time sensitive issue for a finance report.

Thank you,

Charlotte

Rep Charlotte Warren

From: repcharlottewarren@gmail.com <repcharlottewarren@gmail.com>

Sent: Tuesday, July 13, 2021, 11:23 AM

To: peace@wand.org

Subject: Looking for a receipt or invoice.

Good morning,

In 2020, I registered for the WIG conference for \$150. COVID caused a cancellation, and you refunded my payment. I am just looking for a copy of the receipt for my reporting requirements. Might you be able to get me a copy? Thank you so much.

Charlotte

Representative Charlotte Warren, M.A.Ed., M.S.W.
House Chair, Criminal Justice and Public Safety Committee
House District #84: Hallowell, Manchester and West Gardiner
Cell: 207.441.9116

Charlotte.Warren@legislature.maine.gov

Please be advised that any information sent to me in my capacity as a legislator may become a matter of public record as indicated in the Maine Freedom of Access Act.

Receipt

TO: Representative Charlotte Warren, M.A.Ed., M.S.W.
House Chair, Criminal Justice and Public Safety Committee
House District #84: Hallowell, Manchester and West Gardiner
Cell: 207.441.9116
Charlotte.Warren@legislature.maine.gov

Date	Description	Amount
2/09/20	Donation for 2020 Women of Power event on 2.13.20	\$100.00
	<u>Eventbrite Processing Fee</u>	<u>\$ 7.72</u>
	Total	\$107.72

Thank You for Your Donation
Sincerely,



Michael Scott
Administrative & Fiscal Manager
Margaret Chase Smith Policy Center
5784 York Complex, #4, Rm. 103
University of Maine
Orono, ME 04469
(207) 581-1646

<http://mcspolicycenter.umaine.edu/>
Facebook: @umainepolicycenter

would normally find this to be a minor infraction; however, if there is a pattern, multiple minor infractions can be considered a potential violation.

The Commission staff believes there is a pattern of the PAC failing to maintain receipts of its transactions. In response to the Commission staff's supplemental request, the PAC provided bank statements that show the following transactions but did not provide receipts from the vendors. The transactions with missing receipts are:

- 01/10/2020 expenditure at Cross Café for \$114 **SUBMITTED**
- 02/09/2020 expenditure to Margaret Chase Smith Policy Center (for Reception) for \$107.72
- 02/20/2020 expenditure to WAND (for conference) for \$150
- 02/22/2020 expenditure to Bombay Mahal for \$54.30
- 03/07/2020 expenditure to Staples for \$118.17 **SUBMITTED**
- 07/24/2020 expenditure to Constant Contact for \$68.58 **SUBMITTED**
- 1/19/2020 expenditure to NAACP Portland (Dinner) for \$81.20

In 2020, the PAC had twenty-two expenditures that triggered the requirement to retain a receipt and the PAC is missing seven receipts (approximately a 33% error rate). The Commission staff has routinely recommended that a missing receipt constitutes an exception; however, due to the high error rate, the Commission staff believes this constitutes a pattern and recommends that this be treated as one potential violation.

At this time, the Commission staff intends to recommend a finding that the PAC violated 21-A M.R.S. § 1057(2) by not keeping a vendor invoice or receipt for seven expenditures. Under 21-A M.R.S. § 1062-B, the Commission can impose a penalty of no more than \$693.97 - the value of financial activity for which no records were kept. The Commission staff recommends a penalty of \$250 for failing to retain the seven receipts.

Failure to Report Transactions. The next major violation is the unreported transactions.

The PAC's bank account shows the following transactions were not reported:

- 06/29/2020, the PAC made a \$100 contribution to support Betsy Sweet. **SENT EXPLAINER**
- 07/24/2020, the PAC deposited \$183.68 from unknown sources. **SENT EXPLAINER**
- 08/06/2020, the PAC received a \$250 contribution from Bangor Residence Inn.² The contribution was improperly reported as a contribution from Drummond Woodsum. **MISTAKE IN REPORTING**

² The check was also made out to her candidate campaign. It is possible that these checks were accidentally swapped but the Commission staff has received no record of the Drummond Woodsum deposit.

- 08/15/2020, the PAC made a \$400 contribution to Maureen AuCoin for Mayor. *SYSTEM DISALLOW*
- 08/28/2020, the PAC made a \$400 expenditure to Constant Contact. *NOT SURE? SEE EMAIL*
- 09/24/2020, the PAC received a \$500 contribution from Maine Bankers Association. *MISTAKE IN REPORTING*
- 10/07/2020, the PAC deposited \$500 in excess of what was reported. *RESULT OF THIS*

These omitted transactions could³ result in one of two potential violations, either the report is substantially non-conforming, in which case, the penalty is calculated as if the report was filed late, or the omitted transactions constitute substantial misreporting and the Commission may impose a penalty of \$5,000.

The Commission staff does not believe that these omitted transactions rise to the level of substantially non-conforming reports, but the relevant information for the evaluation is in the below tables:

Report Aggregate Information

Report	Omitted Transactions	Total Reported Transactions	Percentage Omitted
11-Day Pre-Primary	\$100 (Expenditures)	\$989.44	11%
42-Day Post-Primary	\$433.68 (Contributions)	\$250	173%
42-Day Post-Primary	\$400 (Expenditures)	\$3,767.57	11%
October Quarterly	\$900 (Expenditures)	\$1,461.41	61%

Potential Violations for Substantially Non-Conforming Reports as of 06/1/2021

Report	Financial Activity	Number of Days	Percentage	Preliminary Penalty Calculation
11-Day Pre-Primary	\$1,089.44	330	2%	\$7,190.30
42-Day Post-Primary	\$4,167.57	281	2%	\$10,000 (MAX)
October Quarterly	\$2,361.41	240	2%	\$10,000 (MAX)

³ The Commission has not considered whether a single violation could result in a penalty under both 21-A M.R.S. §§ 1004-A(4) & 1062-A. Historically, the Commission staff has been of the opinion that a penalty should be imposed under one or the other provision, but there is nothing statutorily that prevents the Commission from finding a violation under both.

**PAGES 14-19 OMITTED FOR
CONFIDENTIALITY**



Charlotte Warren <charwarren04347@gmail.com>

Thank you for your contribution!

1 message

ActBlue Receipts <info+AB129803707@actblue.com>
To: charwarren04347@gmail.com

Sun, Jun 28, 2020 at 10:51 AM

Here's a receipt for your \$100.00 contribution. Did you know you can view your contribution history and edit payment info? See details below.

Your contribution receipt

\$100.00

One-time contribution

Thank you for your contribution in support of Betsy Sweet!

Betsy Sweet	\$100.00
Total charged	\$100.00

Name Charlotte Warren

Order # AB129803707

Order Date 6/28/2020

Payment Type VISA 1725

Billing ZIP 04347

This transaction will appear as one or more charges to ACTBLUE*BETSY.SWEET on your credit card statement.

- Unsure about this charge?
- Edit payment details
- View your contribution history

Paid for by ActBlue (actblue.com) and not authorized by any candidate or candidate's **ETH - 20**

From: Constant Contact Billing <notification@constantcontact.com>
Sent: Tuesday, July 13, 2021 12:00 PM
To: repcharlottewarren@gmail.com
Subject: Constant Contact Billing Activity



Billing Statement

Campaign to Re-Elect Charlotte Warren
Attn.: Charlotte Warren
19 Oakwood Dr
Hallowell, ME 04347
US
2074419116

Today's Date: 07/13/2021
User Name: repcharlottewarren@gmail.com

Below is a list of invoices and payment receipts issued for your account.
Your Account Balance can be viewed at any time on the My Account screen.

Date	Description	Charge Amount	Credit Amount
07/22/2020	Payment - E-Check		\$68.58

Billing questions? Please call Customer Support at (855) 229-5506
Constant Contact - 1601 Trapelo Road, Suite 329 - Waltham, MA 02451

Please do not reply to this email, as the reply address does not go to a monitored mailbox. If you have additional questions, please visit our Help Center at <http://www.constantcontact.com/help>.

STAPLES

14 Crossing Way Suite 3
AUGUSTA, ME 04330
(207) 629-9314

SALE 0 60 060 01983
1512 03/06/20 12:54
QTY SKU PRICE

DUPLICATE COPY

Not Valid For Refund

REWARDS NUMBER 3193034182

1 MACHINE FOLDINGw
889015 3.00
Order No. 2122625369
1 51-100 CLR2 PREMIUMw
889044 109.00
Order No. 2122625369

SUBTOTAL 112.00
Standard Tax 5.500% 6.17

TOTAL \$118.17

Credit Card USD\$118.17
Card No.: XXXXXXXXXXXXX1725 [S] [H]
Auth No. 125453

The Cardholder agrees to pay the Issuer
of the charge card in accordance with
the agreement between the Issuer and
the Cardholder.

TOTAL ITEMS 2

DUPLICATE COPY

Not Valid For Refund

**PAGES 23-24 OMITTED FOR
CONFIDENTIALITY**



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE 04333-0135

June 7, 2021

Rep. Charlotte Warren
Charlotte PAC
19 Oakwood Drive
Hallowell, ME 04347

Mr. Shawn Warren
Charlotte PAC
17 Oakwood Drive
Hallowell, ME 04347

Re: 2020 Compliance Review of Leadership PACs

Dear Rep. Warren & Mr. Warren:

Thank you for providing the requested materials for the Charlotte PAC. I have concluded my review and made my preliminary findings, which are summarized in the enclosed memorandum and materials. Please review the enclosed materials carefully as they will be presented to the Commission.

The purpose of providing these materials to you is to invite you to comment on my preliminary findings. You may provide a written letter along with any supporting documentation that you believe is appropriate. If you believe that certain factual findings are inaccurate, you are welcome to submit information that disputes those findings. You may also wish to correct any errors that you agree with prior to the Commission meeting (if you do so, please let me know in a letter). Please submit any written comments no later than **June 28, 2021**.

After receiving your comments, the Commission staff will submit the enclosed materials, your comments, and, if necessary, a cover memorandum detailing any factual corrections/updates to the Commission. The Commission will consider the Commission staff's findings at the **July 30, 2021** meeting. If the staff's findings indicate that a potential violation was found, then the Committee is encouraged to participate in the meeting. If the findings show only exceptions, then the committee officers are invited to attend at their option. If you wish to attend the **July 30, 2021** meeting but it conflicts with your schedule, please let me know and the review of your PAC may be moved to a later date.

OFFICE LOCATED AT: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

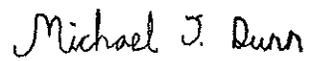
PHONE: (207) 287-4179

FAX: (207) 287-6775

Charlotte PAC
Page 2
April 9, 2021

I am available to discuss this matter at any time if you have any questions regarding the enclosed materials or the overall process. Thank you for your attention in this matter.

Sincerely,

A handwritten signature in black ink that reads "Michael J. Dunn". The signature is written in a cursive, slightly slanted style.

Michael J. Dunn, Esq.
Political Committee and Lobbyist Registrar

Enclosures



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE 04333-0135

To: Commissioners
From: Michael J. Dunn, Esq., Political Committee Registrar
Date: June 7, 2021
Re: Leadership PAC Review – Charlotte PAC

I. Overview of Findings

The Commission staff requested the Committee's records on 12/08/2020. Records were provided on or about 01/31/2021. A request for additional records was mailed on 03/08/2021. The additional records were received on or about 03/19/2021. After review, the Commission staff has found multiple potential violations and exceptions. The Commission staff recommends a penalty of \$600 and disgorgement/repayment of funds.

II. History of Committee

Charlotte PAC registered as a political action committee on 08/12/2015. Representative Charlotte Warren is the Principal Officer and Mr. Shawn Warren is the Treasurer. In 2020, the Committee raised \$7,895.00 and spent \$12,779.00.

III. Procedures

In the 12/08/2020 letter, the Commission staff requested the Committee produce the following 2020 records: (1) bank statements; (2) receipts/invoices for all expenditures over \$50; (3) documentation of any new loans or debts; and (4) records from ActBlue/Anedot or similar fundraising services.

After receiving the records, the Commission staff compared the provided records against the reported transactions for incorrect reporting. Specifically, the Commission staff reviewed the records for: omitted or duplicate transactions, failure to itemize contributions, prohibited payments, misuse of funds for personal enrichment in violation of 21-A M.R.S. § 1054-B, failure to keep records, improper contributions and other errors.

In the review of the Charlotte PAC, the Commission staff found that additional records would be required. On 03/09/2021, the Commission staff sent an email requesting the following:

- Please provide the following receipts:
 - 11/17/2020 to BestBuy for \$571.49
 - 10/23/2020 to Slates for \$80.48
 - 10/19/2020 to NAACP for \$81.20
 - 9/30/2020 to State Lunch Craft & Kitchen for \$66
 - 8/28/2020 to Constant Contact for \$400
 - 08/3/2020 to USPS for \$209
 - 7/24/2020 to Constant Contact for \$68.58
 - 6/11/2020 to Verizon for \$213.86
 - 3/7/2020 to Staples for \$118.17
 - 2/22/2020 to Bombay Mahal for \$54.30
 - 2/20/2020 to Wand for \$150
 - 2/9/2020 to Margaret Chase Smith Policy Center for \$107.72
 - 1/10/2020 to Cross Café for \$114
 - 1/3/2020 to Staples for \$213.55

- Please provide a copy of the checks and their deposit dates for the following deposits:
 - 01/03/2020 for \$3,287.78
 - 03/16/2020 for \$2,450
 - 08/13/2020 for \$250
 - 10/07/2020 for \$3,919.47
 - 10/23/2020 for \$1,100
 - 11/6/2020 for \$2,250

- Please provide a letter describing the purpose of the withdrawal of \$400 on 09/04/2020¹.

IV. Potential Violation

The Commission staff found four areas of potential violations for the Commission's review.

Failure to Maintain Receipts. The first potential violation the Commission staff found is a failure to maintain receipts/invoices. 21-A M.R.S. § 1057. The Commission staff

¹ Commission staff notes there was an error in the date of the request; the withdrawal was on 09/14/2020 not 09/04/2020.

would normally find this to be a minor infraction; however, if there is a pattern, multiple minor infractions can be considered a potential violation.

The Commission staff believes there is a pattern of the PAC failing to maintain receipts of its transactions. In response to the Commission staff's supplemental request, the PAC provided bank statements that show the following transactions but did not provide receipts from the vendors. The transactions with missing receipts are:

- 01/10/2020 expenditure at Cross Café for \$114
- 02/09/2020 expenditure to Margaret Chase Smith Policy Center (for Reception) for \$107.72
- 02/20/2020 expenditure to WAND (for conference) for \$150
- 02/22/2020 expenditure to Bombay Mahal for \$54.30
- 03/07/2020 expenditure to Staples for \$118.17
- 07/24/2020 expenditure to Constant Contact for \$68.58
- 1/19/2020 expenditure to NAACP Portland (Dinner) for \$81.20

In 2020, the PAC had twenty-two expenditures that triggered the requirement to retain a receipt and the PAC is missing seven receipts (approximately a 33% error rate). The Commission staff has routinely recommended that a missing receipt constitutes an exception; however, due to the high error rate, the Commission staff believes this constitutes a pattern and recommends that this be treated as one potential violation.

At this time, the Commission staff intends to recommend a finding that the PAC violated 21-A M.R.S. § 1057(2) by not keeping a vendor invoice or receipt for seven expenditures. Under 21-A M.R.S. § 1062-B, the Commission can impose a penalty of no more than \$693.97 - the value of financial activity for which no records were kept. The Commission staff recommends a penalty of \$250 for failing to retain the seven receipts.

Failure to Report Transactions. The next major violation is the unreported transactions. The PAC's bank account shows the following transactions were not reported:

- 06/29/2020, the PAC made a \$100 contribution to support Betsy Sweet.
- 07/24/2020, the PAC deposited \$183.68 from unknown sources.
- 08/06/2020, the PAC received a \$250 contribution from Bangor Residence Inn.² The contribution was improperly reported as a contribution from Drummond Woodsum.

² The check was also made out to her candidate campaign. It is possible that these checks were accidentally swapped but the Commission staff has received no record of the Drummond Woodsum deposit.

- 08/15/2020, the PAC made a \$400 contribution to Maureen AuCoin for Mayor.
- 08/28/2020, the PAC made a \$400 expenditure to Constant Contact.
- 09/24/2020, the PAC received a \$500 contribution from Maine Bankers Association.
- 10/07/2020, the PAC deposited \$500 in excess of what was reported.

These omitted transactions could³ result in one of two potential violations, either the report is substantially non-conforming, in which case, the penalty is calculated as if the report was filed late, or the omitted transactions constitute substantial misreporting and the Commission may impose a penalty of \$5,000.

The Commission staff does not believe that these omitted transactions rise to the level of substantially non-conforming reports, but the relevant information for the evaluation is in the below tables:

Report Aggregate Information

Report	Omitted Transactions	Total Reported Transactions	Percentage Omitted
11-Day Pre-Primary	\$100 (Expenditures)	\$989.44	11%
42-Day Post-Primary	\$433.68 (Contributions)	\$250	173%
42-Day Post-Primary	\$400 (Expenditures)	\$3,767.57	11%
October Quarterly	\$900 (Expenditures)	\$1,461.41	61%

Potential Violations for Substantially Non-Conforming Reports as of 06/1/2021

Report	Financial Activity	Number of Days	Percentage	Preliminary Penalty Calculation
11-Day Pre-Primary	\$1,089.44	330	2%	\$7,190.30
42-Day Post-Primary	\$4,167.57	281	2%	\$10,000 (MAX)
October Quarterly	\$2,361.41	240	2%	\$10,000 (MAX)

³ The Commission has not considered whether a single violation could result in a penalty under both 21-A M.R.S. §§ 1004-A(4) & 1062-A. Historically, the Commission staff has been of the opinion that a penalty should be imposed under one or the other provision, but there is nothing statutorily that prevents the Commission from finding a violation under both.

In determining whether a report is substantially non-conforming the most important factor is the percentage of the omitted transactions when compared to what was reported. There is no specific threshold to look for but certainly if the percentage is above 50%, then there is a strong indication that the report was substantially non-conforming. The Commission could make a finding that the October Quarterly Report is substantially non-conforming because of the high omitted percentage of the expenditures, but the PAC also reported \$3,745 of contributions accurately in that same report; a similar analysis can be done for the 42-Day Post-Primary Report. If the Commission finds a report to be substantially non-conforming, then the Commission staff recommends imposing a penalty of \$750 per report.

The Commission staff believes these omitted transactions will more appropriately fit under the substantial misreporting penalties of 21-A M.R.S. § 1004-A(4). At this time, the Commission staff recommends finding that the PAC substantially misreported its financial activity by not disclosing these transactions and assessing a \$50 penalty for each omitted transaction, resulting in a collective \$350 penalty.

Contributions from a Registered Lobbyist. The next major violation is that on 01/08/2020, the PAC accepted a \$200 contribution from Mr. Jake Mitchell, who was a registered lobbyist at the time. A Leadership PAC may not accept a contribution from a registered lobbyist during the legislative session. 1 M.R.S. § 1015 (repealed 06/16/2020) (the section was replaced by 1 M.R.S. § 1015-A, which still prohibits contributions from lobbyists during a legislative session). The Legislature convened on the same day, 01/08/2020, at 10:00 AM. If the contribution were made prior to that time, then § 1015 was not violated, however, additional information should be gathered as to this potential violation. The Commission may assess up to \$1,000 as a penalty. 1 M.R.S. § 1015(D) (repealed 06/16/2020). Alternatively, the Commission staff routinely recommends disgorging the improperly received funds, which if a violation is found, would be its recommendation here.

Possible Self-Enrichment. A PAC reimburse them a Legislator for expenses incurred in the proper performance of their duties, for purchases made on behalf of the PAC, or for

travel expenses associated with volunteering for the PAC. 21-A M.R.S. § 1054-B. Allowable reimbursements for expenses do not include payments that are determined by the Commission to be for the purpose of the personal financial enrichment of the Legislator. Id. On 06/11/2020, the PAC made an expenditure of \$213.86 to Verizon. This payment covered two months of bills for Rep. Warren's primary phone (as listed on her 2020 candidate account and PAC account). This payment was the only time the PAC paid for the cell phone. The Commission staff is not concluding whether this is or is not a violation but wanted to note it for the Commission. There is no financial penalty for this violation. If the PAC knowingly made the expenditure in violation of this Chapter, then it is treated as a Class E crime. 21-A M.R.S. § 1004. The Commission staff recommends that, if the Commission views the expenditures as a violation of 21-A M.R.S. § 1054-B, Rep. Warren repay the funds to the PAC.

V. Exceptions

On 10/05/2020 the PAC reported paying ActBlue fees of \$29.48; the documents provided show the fees were \$25.53.

The PAC reported a \$57.95 charge to the Westin on 03/13/2020; the charge was actually made on 01/13/2020.

VI. Conclusions and Recommendations

Based on the foregoing findings, the Commission staff recommends imposing a penalty of \$600 for the noted potential violations and that the PAC return the \$200 contribution from Jake Mitchell if the contribution was made after the session began. The Commission staff also recommends that Rep. Warren repay \$213.86 to the PAC for its payment of her Verizon phone bill (if determined to be a violation of § 1054-B).

Thank you for your attention in this matter.



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE 04333-0135

December 8, 2020

Rep. Charlotte Warren
Charlotte PAC
19 Oakwood Drive
Hallowell, ME 4347

Mr. Shawn Warren
Charlotte PAC
17 Oakwood Drive
Hallowell, ME 4347

Re: 2020 Compliance Review of Leadership PACs

Dear Rep. Warren & Mr. Warren:

On November 18, 2020, the members of the Ethics Commission directed the Commission staff to begin a compliance review of Leadership PACs that raised or spent more than \$5,000 in 2020. A Leadership PAC is, for purposes of these reviews, a PAC that had an officer that also served as a Legislator in 2020. Based on the Commission's records, the Charlotte PAC falls into the category for this compliance review.

Please be aware that all committees who meet the above criteria will be reviewed for compliance with PAC laws. By being selected there is no inference on the part of the Commission that any incorrect or improper reporting or activity has taken place. For each PAC reviewed, the Commission staff will prepare and submit to the Commission members a report with the results of the compliance review. You will have the opportunity to review the report and submit any comments that you feel are necessary prior to the Commission's consideration of the staff's report.

In order to facilitate this review, we would appreciate you forwarding copies of the following records:

- All 2020 bank statements for Charlotte PAC;
- Receipt and/or invoices for all expenditures over \$50 incurred during 2020;
- Documentation of any new loans or debts, or any payments thereof in 2020; and
- Records from ActBlue, Anedot, or similar fundraising service of contributions received in 2020.

OFFICE LOCATED AT: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: (207) 287-4179

FAX: (207) 287-6775

The records should be submitted as hard copies, but digital versions would be acceptable in the following formats: .pdf, excel, or .csv. Digital submissions should be sent via email to me (michael.dunn@maine.gov). Please provide the requested records no later than **January 31, 2021**.

Please do not hesitate to contact me if you have any questions regarding this matter.

Sincerely,

A handwritten signature in cursive script that reads "Michael J. Dunn".

Michael J. Dunn, Esq.
Political Committee and Lobbyist Registrar



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE 04333-0135

March 9, 2021

Rep. Charlotte Warren
Charlotte PAC
19 Oakwood Drive
Hallowell, ME 04347

Mr. Shawn Warren
Charlotte PAC
17 Oakwood Drive
Hallowell, ME 04347

Re: Request for Additional Information – 2020 Compliance Review of Leadership PACs

Dear Rep. Warren & Mr. Warren:

Thank you for submitting the records that I requested in my December 8, 2020 letter. I have had an opportunity to review your submission and am writing with additional questions. Please submit your response at your earliest opportunity but no later than March 23, 2021. Please provide more information regarding the following:

- Please provide the following receipts:
 - 11/17/2020 to BestBuy for \$571.49
 - 10/23/2020 to Slates for \$80.48
 - 10/19/2020 to NAACP for \$81.20
 - 9/30/2020 to State Lunch Craft & Kitchen for \$66
 - 8/28/2020 to Constant Contact for \$400
 - 08/3/2020 to USPS for \$209
 - 7/24/2020 to Constant Contact for \$68.58
 - 6/11/2020 to Verizon for \$213.86
 - 3/7/2020 to Staples for \$118.17
 - 2/22/2020 to Bombay Mahal for \$54.30
 - 2/20/2020 to Wand for \$150
 - 2/9/2020 to Margaret Chase Smith Policy Center for \$107.72
 - 1/10/2020 to Cross Café for \$114
 - 1/3/2020 to Staples for \$213.55

- Please provide a copy of the checks and their deposit dates for the following deposits:
 - 01/03/2020 for \$3,287.78
 - 03/16/2020 for \$2,450
 - 08/13/2020 for \$250
 - 10/07/2020 for \$3,919.47
 - 10/23/2020 for \$1,100
 - 11/6/2020 for \$2,250

- Please provide a letter describing the purpose of the withdrawal of \$400 on 09/04/2020.

OFFICE LOCATED AT: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: (207) 287-4179

FAX: (207) 287-6775

Rep. Charlotte Warren
March 9, 2021
Page two

These requests are being made to further assist in the Commission's review of the committee. There is no assertion of inaccurate reporting on the part of the Commission or staff. If you have any questions or concerns regarding these requests, I can be reached at (207) 287-4709 or Michael.Dunn@Maine.gov. Thank you for your attention in this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "Michael J. Dunn", with a long horizontal flourish extending to the right.

Michael J. Dunn, Esq.
Political Committee Registrar



Commission on Governmental Ethics and Election Practices
 Mail: 135 State House Station, Augusta, Maine 04333
 Office: 45 Memorial Circle, Augusta, Maine
 Website: www.maine.gov/ethics
 Phone: 207-287-4179
 Fax: 207-287-6775

2020 CAMPAIGN FINANCE REPORT
FOR POLITICAL ACTION COMMITTEES

COMMITTEE		TREASURER	
CHARLOTTE PAC 19 Oakwood Dr Hallowell, ME 04347 PHONE:(207) 441-9116 EMAIL: REPCHARLOTTEWARREN@GMAIL.COM		SHAWN E WARREN 17 OAKWOOD DR HALLOWELL, ME 04347 PHONE: EMAIL: SHAWNEWARREN@GMAIL.COM	
REPORT	DUE DATE	REPORTING PERIOD	
April Quarterly	04/10/2020	01/01/2020 - 03/31/2020	

FINANCIAL ACTIVITY SUMMARY

RECEIPTS	TOTAL FOR PERIOD	TOTAL FOR YEAR
1. CASH CONTRIBUTIONS (SCHEDULE A)	\$450.00	\$450.00
2. OTHER CASH RECEIPTS (INTEREST, ETC.)	\$0.00	\$0.00
3. LOANS (SCHEDULE C)	\$0.00	\$0.00
4. TOTAL RECEIPTS (LINE 1 + 2 + 3)	\$450.00	\$450.00
EXPENDITURES		
5. EXPENDITURES TO SUPPORT OR OPPOSE (SCHEDULE B)	\$400.00	\$400.00
6. OPERATING EXPENDITURES (SCHEDULE B-1)	\$1,524.82	\$1,524.82
7. LOAN REPAYMENTS (SCHEDULE C)	\$0.00	\$0.00
8. TOTAL PAYMENTS (LINE 5 + 6 + 7)	\$1,924.82	\$1,924.82
CASH SUMMARY		
9. CASH BALANCE AT BEGINNING OF PERIOD	\$12,439.38	
10. PLUS TOTAL RECEIPTS THIS PERIOD (LINE 4)	\$450.00	
11. MINUS TOTAL PAYMENTS THIS PERIOD (LINE 8)	\$1,924.82	
12. CASH BALANCE AT END OF PERIOD	\$10,964.56	
OTHER ACTIVITY		
13. IN-KIND CONTRIBUTIONS (SCHEDULE A-1)	\$0.00	\$0.00
14. TOTAL LOAN BALANCE AT END OF PERIOD (SCHEDULE C)	\$0.00	
15. TOTAL UNPAID DEBTS AT END OF PERIOD (SCHEDULE D)	\$0.00	

I, CHARLOTTE WARREN, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE, AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

REPORT FILED BY: CHARLOTTE WARREN
 REPORT FILED ON: 4/10/2020 1:09:22 PM
 LAST MODIFIED:
 COMMITTEE ID: 4990

**SCHEDULE A
CASH CONTRIBUTIONS**

- For contributors who gave more than \$50, the names, address, occupation, and employer must be reported. If "information requested" is listed instead of occupation and employer, the candidate is waiting to receive that information.
- Cash contributions of \$50 or less can be added together and reported as a lump sum.
- Contributor Types

- | | |
|--|--|
| 1 = Individual | 9 = Candidate / Candidate Committee |
| 2 = Candidate/ Spouse/ Domestic Partner | 10 = General Treasury Transfer |
| 3 = Commercial Source | 11 = Transfer from Previous Campaign |
| 4 = Nonprofit Organization | 12 = Contributors giving \$50 or less |
| 5 = Political Action Committee | 13 = Contributors giving \$100 or less |
| 6 = Political Party Committee | 14 = Contributors giving \$200 or less |
| 7 = Ballot Question Committee | 15 = MCEA Payment |
| 8 = Other Candidate/ Candidate Committee | 16 = Financial Institution |

DATE RECEIVED	CONTRIBUTOR	EMPLOYER AND OCCUPATION	TYPE	AMOUNT
1/6/2020	Maine Credit Union League PO Box 1236 Portland, ME, 04104		3	\$250.00
1/8/2020	JACOB MITCHELL 1611 MAIN ST READFIELD, ME, 04355	MITCHELL TARDY JACKSON Lobbyist	1	\$200.00
TOTAL CASH CONTRIBUTIONS				\$450.00

Improper
Lobbyist
Contribution

**SCHEDULE B
EXPENDITURES TO SUPPORT OR OPPOSE**

EXPENDITURE TYPES				
APP	Apparel (t-shirts, hats, embroidery, etc.)	CON	Contribution to party committee, non-profit, other candidate, etc.	
EQP	Equipment of \$50 or more (computer, tablet, phone, furniture, etc.)	EVT	Campaign and fundraising events (venue or booth rental, entertainment, supplies, etc.)	
FOD	Food for campaign events or volunteers, catering	HRD	Hardware and small tools (hammer, nails, lumber, paint, etc.)	
LIT	Printed campaign materials (palmcards, signs, stickers, flyers, etc.)	MHS	Mail house and direct mail (design, printing, mailing, and postage all included)	
NEW	Newspaper and print media ads only	OFF	Office supplies, rent, utilities, internet service, phone minutes and data	
ONL	Social media and online advertising only	OTH	Other and fees (bank, contribution, and money order fees, etc.)	
PER	Personnel and campaign staff, consulting, and independent contractor costs	PHO	Phones (phone banking, robocalls and texts)	
POL	Polling and survey research	POS	Postage for U.S. Mail and mail box fees	
PRO	Professional services (graphic design, legal services, web design, etc.)	RAD	Radio ads, production costs	
TKT	Entrance cost to event (bean suppers, fairs, party events, etc.)	TRV	Travel (fuel, mileage, lodging, etc.)	
TVN	TV/cable ads, production, and media buyer costs only	WEB	Website and internet costs (website domain and registration, etc.)	
DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
1/8/2020	Genevieve L. McDonald 129 N Main St Stonington, ME, 04681	Support Rep McDonald PAYMENT OF \$400.00 TO SUPPORT: Genevieve McDonald Jurisdiction: State. Party: Democratic. Office: Representative. ELECTION YEAR: 2018.	CON	\$400.00
TOTAL EXPENDITURES TO SUPPORT OR OPPOSE:				\$400.00

**SCHEDULE B-1
OPERATING EXPENDITURES**

EXPENDITURE TYPES				
APP	Apparel (t-shirts, hats, embroidery, etc.)	CON	Contribution to party committee, non-profit, other candidate, etc.	
EQP	Equipment of \$50 or more (computer, tablet, phone, furniture, etc.)	EVT	Campaign and fundraising events (venue or booth rental, entertainment, supplies, etc.)	
FOD	Food for campaign events or volunteers, catering	HRD	Hardware and small tools (hammer, nails, lumber, paint, etc.)	
LIT	Printed campaign materials (palmcards, signs, stickers, flyers, etc.)	MHS	Mail house and direct mail (design, printing, mailing, and postage all included)	
NEW	Newspaper and print media ads only	OFF	Office supplies, rent, utilities, internet service, phone minutes and data	
ONL	Social media and online advertising only	OTH	Other and fees (bank, contribution, and money order fees, etc.)	
PER	Personnel and campaign staff, consulting, and independent contractor costs	PHO	Phones (phone banking, robocalls and texts)	
POL	Polling and survey research	POS	Postage for U.S. Mail and mail box fees	
PRO	Professional services (graphic design, legal services, web design, etc.)	RAD	Radio ads, production costs	
TKT	Entrance cost to event (bean suppers, fairs, party events, etc.)	TRV	Travel (fuel, mileage, lodging, etc.)	
TVN	TV/cable ads, production, and media buyer costs only	WEB	Website and internet costs (website domain and registration, etc.)	
DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
1/3/2020	STAPLES 14 Crossing Way, Suite 3 Augusta, ME, 04330	Materials for thank you letters. paper. toner. postage.	OFF	\$213.55
1/10/2020	CROSS CAFE 111 SEWALL ST AUGUSTA, ME, 04330	Food for legislative education meeting	FOD	\$114.00
1/10/2020	Go Daddy 14455 N. Hayden Rd. Suite. 226 Scottsdale, AZ, 85260-6947	website expense	WEB	\$27.42
1/11/2020	CROSS CAFE 111 SEWALL ST AUGUSTA, ME, 04330	Coffee meeting with Legislators	FOD	\$4.96
1/16/2020	Sun Journal Sun Journal Lane Lewiston, ME, 00000	news	OTH	\$15.78
1/16/2020	BPB 2935 N Prior RD Akron, OH, 44264	Bank is researching to figure out what this expense is - will reimburse the account - MISTAKE. BPB Health?	OTH	\$47.00
1/19/2020	NAACP Portland Maine Branch 000 Portland, ME, 04103	Annual MLK Dinner at Holiday Inn	OTH	\$81.20
1/20/2020	ADOBE adobe rd santa fe, CA, 90605	adobe suite tools	PRO	\$23.88
1/28/2020	MISTAKE: See corresponding Contribution MISTAKE: See corresponding Contribution MISTAKE: See corresponding Contribution MISTAKE: See corresponding Contribution, ME, 04347	MISTAKE: See corresponding Contribution. Didn't realize until PAC reporting. Contribution of \$5 made 4/10/2020	OTH	\$50.00

Missing Receipt

Missing Receipt

2/5/2020	EMERGE MAINE PO BOX 3445 PORTLAND, ME, 04101	Host Cmte of Emerge Maine event	FND	\$200.00	
2/9/2020	Margaret Chase Smith Policy Center 5784 York Complex #4 Orono, ME, 04469	Women of Power Reception	OTH	\$107.72	Missing Receipt
2/10/2020	Go Daddy 14455 N. Hayden Rd. Suite. 226 Scottsdale, AZ, 85260-6947	website expense	WEB	\$88.99	
2/13/2020	Sun Journal Sun Journal Lane Lewiston, ME, 00000	news	OTH	\$15.78	
2/16/2020	The New York Times 620 Eighth Avenue Manhattan, NY, 10018	news	OTH	\$40.00	
2/16/2020	EMERGE MAINE PO BOX 3445 PORTLAND, ME, 04101	emerge graduation ticket	OTH	\$35.00	
2/20/2020	WAND 322 4TH ST NE WASHIGTON, DC, 20002	WIG Conference	OTH	\$150.00	Missing Receipt
2/21/2020	QUARRY TAP ROOM 122 WATER ST HALLOWELL, ME, 04347	meeting with legislators	FOD	\$19.04	
2/22/2020	Bombay Mahal Inc 99 Main St Brunswick, ME, 04011	Meeting: Legislature	FOD	\$54.30	Missing Receipt
2/25/2020	CROSS CAFE 111 SEWALL ST AUGUSTA, ME, 04330	meeting with legisltors.	FOD	\$12.20	
2/28/2020	CROSS CAFE 111 SEWALL ST AUGUSTA, ME, 04330	meeting with legisltors.	FOD	\$11.30	
3/7/2020	STAPLES 14 Crossing Way, Suite 3 Augusta, ME, 04330	Lit for March 8 caucuses	LIT	\$118.17	Missing Receipt
3/10/2020	Go Daddy 14455 N. Hayden Rd. Suite. 226 Scottsdale, AZ, 85260-6947	website expense	WEB	\$4.99	
3/12/2020	Sun Journal Sun Journal Lane Lewiston, ME, 00000	news	OTH	\$15.78	
3/13/2020	WESTIN 157 HIGH ST PORTLAND,, ME, 04101	Legislative Conference: SIX	FOD	\$57.95	Charge on 01/13/2020
3/14/2020	ADOBE adobe rd santa fe, CA, 90605	adobe apps	PRO	\$15.81	
TOTAL OPERATING EXPENDITURES				\$1,524.82	



Commission on Governmental Ethics and Election Practices
 Mail: 135 State House Station, Augusta, Maine 04333
 Office: 45 Memorial Circle, Augusta, Maine
 Website: www.maine.gov/ethics
 Phone: 207-287-4179
 Fax: 207-287-6775

2020 CAMPAIGN FINANCE REPORT
FOR POLITICAL ACTION COMMITTEES

COMMITTEE		TREASURER	
CHARLOTTE PAC 19 Oakwood Dr Hallowell, ME 04347 PHONE:(207) 441-9116 EMAIL: REPCHARLOTTEWARREN@GMAIL.COM		SHAWN E WARREN 17 OAKWOOD DR HALLOWELL, ME 04347 PHONE: EMAIL: SHAWNEWARREN@GMAIL.COM	
REPORT	DUE DATE	REPORTING PERIOD	
42-Day Post-Primary Election Report	08/25/2020	07/01/2020 - 08/18/2020	

FINANCIAL ACTIVITY SUMMARY

RECEIPTS	TOTAL FOR PERIOD	TOTAL FOR YEAR
1. CASH CONTRIBUTIONS (SCHEDULE A)	\$250.00	\$750.00
2. OTHER CASH RECEIPTS (INTEREST, ETC.)	\$0.00	\$0.00
3. LOANS (SCHEDULE C)	\$0.00	\$0.00
4. TOTAL RECEIPTS (LINE 1 + 2 + 3)	\$250.00	\$750.00
EXPENDITURES		
5. EXPENDITURES TO SUPPORT OR OPPOSE (SCHEDULE B)	\$1,200.00	\$1,200.00
6. OPERATING EXPENDITURES (SCHEDULE B-1)	\$2,567.57	\$4,281.83
7. LOAN REPAYMENTS (SCHEDULE C)	\$0.00	\$0.00
8. TOTAL PAYMENTS (LINE 5 + 6 + 7)	\$3,767.57	\$5,481.83
CASH SUMMARY		
9. CASH BALANCE AT BEGINNING OF PERIOD	\$10,025.12	
10. PLUS TOTAL RECEIPTS THIS PERIOD (LINE 4)	\$250.00	
11. MINUS TOTAL PAYMENTS THIS PERIOD (LINE 8)	\$3,767.57	
12. CASH BALANCE AT END OF PERIOD	\$6,507.55	
OTHER ACTIVITY		
13. IN-KIND CONTRIBUTIONS (SCHEDULE A-1)	\$0.00	\$0.00
14. TOTAL LOAN BALANCE AT END OF PERIOD (SCHEDULE C)	\$0.00	
15. TOTAL UNPAID DEBTS AT END OF PERIOD (SCHEDULE D)	\$0.00	

I, CHARLOTTE WARREN, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE, AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

REPORT FILED BY: CHARLOTTE WARREN
 REPORT FILED ON: 8/18/2020 3:45:06 PM
 LAST MODIFIED:
 COMMITTEE ID: 4990

**SCHEDULE A
CASH CONTRIBUTIONS**

- For contributors who gave more than \$50, the names, address, occupation, and employer must be reported. If "Information requested" is listed instead of occupation and employer, the candidate is waiting to receive that information.
- Cash contributions of \$50 or less can be added together and reported as a lump sum.
- Contributor Types

- | | |
|--|--|
| 1 = Individual | 9 = Candidate / Candidate Committee |
| 2 = Candidate/ Spouse/ Domestic Partner | 10 = General Treasury Transfer |
| 3 = Commercial Source | 11 = Transfer from Previous Campaign |
| 4 = Nonprofit Organization | 12 = Contributors giving \$50 or less |
| 5 = Political Action Committee | 13 = Contributors giving \$100 or less |
| 6 = Political Party Committee | 14 = Contributors giving \$200 or less |
| 7 = Ballot Question Committee | 15 = MCEA Payment |
| 8 = Other Candidate/ Candidate Committee | 16 = Financial Institution |

DATE RECEIVED	CONTRIBUTOR	EMPLOYER AND OCCUPATION	TYPE	AMOUNT
8/6/2020	Drummond Woodsum 84 Marginal Way Portland, ME, 04101		3	\$250.00
TOTAL CASH CONTRIBUTIONS				\$250.00

Incorrect Contributor

**SCHEDULE B
EXPENDITURES TO SUPPORT OR OPPOSE**

EXPENDITURE TYPES				
APP	Apparel (t-shirts, hats, embroidery, etc.)	CON	Contribution to party committee, non-profit, other candidate, etc.	
EQP	Equipment of \$50 or more (computer, tablet, phone, furniture, etc.)	EVT	Campaign and fundraising events (venue or booth rental, entertainment, supplies, etc.)	
FOD	Food for campaign events or volunteers, catering	HRD	Hardware and small tools (hammer, nails, lumber, paint, etc.)	
LIT	Printed campaign materials (palmcards, signs, stickers, flyers, etc.)	MHS	Mail house and direct mail (design, printing, mailing, and postage all included)	
NEW	Newspaper and print media ads only	OFF	Office supplies, rent, utilities, internet service, phone minutes and data	
ONL	Social media and online advertising only	OTH	Other and fees (bank, contribution, and money order fees, etc.)	
PER	Personnel and campaign staff, consulting, and independent contractor costs	PHO	Phones (phone banking, robocalls and texts)	
POL	Polling and survey research	POS	Postage for U.S. Mail and mail box fees	
PRO	Professional services (graphic design, legal services, web design, etc.)	RAD	Radio ads, production costs	
TKT	Entrance cost to event (bean suppers, fairs, party events, etc.)	TRV	Travel (fuel, mileage, lodging, etc.)	
TVN	TV/cable ads, production, and media buyer costs only	WEB	Website and internet costs (website domain and registration, etc.)	
DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
7/24/2020	Charlotte May Warren 19 Oakwood Drive Hallowell, ME, 04347	support PAYMENT OF \$400.00 TO SUPPORT: Charlotte May Warren Jurisdiction: State. Party: Democratic. Office: Representative. ELECTION YEAR: 2020.	CON	\$400.00
8/15/2020	Lillie N. Lavado 50 Hillside Street Presque Isle, ME, 04769	support PAYMENT OF \$400.00 TO SUPPORT: Lillie N. Lavado Jurisdiction: State. Party: Democratic. Office: Representative. ELECTION YEAR: 2020.	CON	\$400.00
8/16/2020	Margaret O'Neil 21 Sheila Circle Saco, ME, 04072	Support PAYMENT OF \$400.00 TO SUPPORT: Margaret O'Neil Jurisdiction: State. Party: Democratic. Office: Representative. ELECTION YEAR: 2020.	CON	\$400.00
TOTAL EXPENDITURES TO SUPPORT OR OPPOSE:				\$1,200.00

**SCHEDULE B-1
OPERATING EXPENDITURES**

EXPENDITURE TYPES				
APP	Apparel (t-shirts, hats, embroidery, etc.)	CON	Contribution to party committee, non-profit, other candidate, etc.	
EQP	Equipment of \$50 or more (computer, tablet, phone, furniture, etc.)	EVT	Campaign and fundraising events (venue or booth rental, entertainment, supplies, etc.)	
FOD	Food for campaign events or volunteers, catering	HRD	Hardware and small tools (hammer, nails, lumber, paint, etc.)	
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DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
7/24/2020	constant contact 1601 TRAPELO RD WALTHAM, MA, 02451	email newsletter	WEB	\$68.58
7/29/2020	Eventbrite 155 5th St Floor 7 San Fran, CA, 94016	event entrance fee	EVT	\$28.16
8/3/2020	USPS CAPITOL ST AUGUSTA, ME, 04330	stamps for thank you cards and clincher cards	OTH	\$209.00
8/4/2020	Courtney Allen AUGUSTA AUGUSTA, ME, 04330	staff for the PAC	PER	\$2,000.00
8/6/2020	bangor daily news 1 MERCHANTS PLAZA BANGOR, ME, 04401	subscription	OTH	\$93.90
8/17/2020	Olive Garden Stephen King Drive Augusta, ME, 04330	working lunch meeting	FOD	\$48.01
8/18/2020	Zoom 55 Almaden Blvd San Jose, CA, 95113	web conferencing	WEB	\$119.92
TOTAL OPERATING EXPENDITURES				\$2,567.57

Missing Receipt

Commission on Governmental Ethics and Election Practices
 Mail: 135 State House Station, Augusta, Maine 04333
 Office: 45 Memorial Circle, Augusta, Maine
 Website: www.maine.gov/ethics
 Phone: 207-287-4179
 Fax: 207-287-6775



2020 CAMPAIGN FINANCE REPORT

FOR POLITICAL ACTION COMMITTEES

COMMITTEE		TREASURER	
CHARLOTTE PAC 19 Oakwood Dr Hallowell, ME 04347 PHONE:(207) 441-9116 EMAIL: REPCHARLOTTEWARREN@GMAIL.COM		SHAWN E WARREN 17 OAKWOOD DR HALLOWELL, ME 04347 PHONE: EMAIL: SHAWNEWARREN@GMAIL.COM	
REPORT	DUE DATE	REPORTING PERIOD	
11-Day Pre-General Election Report	10/23/2020	10/01/2020 - 10/20/2020	

FINANCIAL ACTIVITY SUMMARY

RECEIPTS	TOTAL FOR PERIOD	TOTAL FOR YEAR
1. CASH CONTRIBUTIONS (SCHEDULE A)	\$900.00	\$5,395.00
2. OTHER CASH RECEIPTS (INTEREST, ETC.)	\$0.00	\$0.00
3. LOANS (SCHEDULE C)	\$0.00	\$0.00
4. TOTAL RECEIPTS (LINE 1 + 2 + 3)	\$900.00	\$5,395.00
EXPENDITURES		
5. EXPENDITURES TO SUPPORT OR OPPOSE (SCHEDULE B)	\$1,200.00	\$4,000.00
6. OPERATING EXPENDITURES (SCHEDULE B-1)	\$1,389.99	\$6,733.23
7. LOAN REPAYMENTS (SCHEDULE C)	\$0.00	\$0.00
8. TOTAL PAYMENTS (LINE 5 + 6 + 7)	\$2,589.99	\$10,733.23
CASH SUMMARY		
9. CASH BALANCE AT BEGINNING OF PERIOD	\$8,791.14	
10. PLUS TOTAL RECEIPTS THIS PERIOD (LINE 4)	\$900.00	
11. MINUS TOTAL PAYMENTS THIS PERIOD (LINE 8)	\$2,589.99	
12. CASH BALANCE AT END OF PERIOD	\$7,101.15	
OTHER ACTIVITY		
13. IN-KIND CONTRIBUTIONS (SCHEDULE A-1)	\$0.00	\$0.00
14. TOTAL LOAN BALANCE AT END OF PERIOD (SCHEDULE C)	\$0.00	
15. TOTAL UNPAID DEBTS AT END OF PERIOD (SCHEDULE D)	\$0.00	

I, CHARLOTTE WARREN, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE, AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

REPORT FILED BY: CHARLOTTE WARREN
 REPORT FILED ON: 10/22/2020 11:00:46 AM
 LAST MODIFIED: 12/15/2020 12:39:24 PM
 COMMITTEE ID: 4990

**SCHEDULE A
CASH CONTRIBUTIONS**

- For contributors who gave more than \$50, the names, address, occupation, and employer must be reported. If "Information requested" is listed instead of occupation and employer, the candidate is waiting to receive that information.
- Cash contributions of \$50 or less can be added together and reported as a lump sum.
- Contributor Types

1 = Individual

2 = Candidate/ Spouse/ Domestic Partner

3 = Commercial Source

4 = Nonprofit Organization

5 = Political Action Committee

6 = Political Party Committee

7 = Ballot Question Committee

8 = Other Candidate/ Candidate Committee

9 = Candidate / Candidate Committee

10 = General Treasury Transfer

11 = Transfer from Previous Campaign

12 = Contributors giving \$50 or less

13 = Contributors giving \$100 or less

14 = Contributors giving \$200 or less

15 = MCEA Payment

16 = Financial Institution

DATE RECEIVED	CONTRIBUTOR	EMPLOYER AND OCCUPATION	TYPE	AMOUNT
10/7/2020	distilled spirits council 1250 ete st washington dc, DC, 20005		5	\$250.00
10/20/2020	MAINE EYE PAC 30 Association Drive Manchester, ME, 04351		5	\$500.00
10/20/2020	maine medical assoc PO Box 190 Manchester, ME, 04351		5	\$150.00
TOTAL CASH CONTRIBUTIONS				\$900.00

**SCHEDULE B
EXPENDITURES TO SUPPORT OR OPPOSE**

EXPENDITURE TYPES				
APP	Apparel (t-shirts, hats, embroidery, etc.)	CON	Contribution to party committee, non-profit, other candidate, etc.	
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TVN	TV/cable ads, production, and media buyer costs only	WEB	Website and internet costs (website domain and registration, etc.)	
DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
10/5/2020	Braden Sharpe 34 Harlie Woods Rd Durham, ME, 04222	support rep sharpe PAYMENT OF \$400.00 TO SUPPORT: Braden Sharpe Jurisdiction: State. Party: Democratic. Office: Representative. ELECTION YEAR: 2020.	CON	\$400.00
10/5/2020	Robin Russel 7 St. Louis Avenue Hermon, ME, 04401	support Robin Russel PAYMENT OF \$400.00 TO SUPPORT: Robin Russel Jurisdiction: State. Party: Democratic. Office: Representative. ELECTION YEAR: 2020.	CON	\$400.00
10/5/2020	Tim I. Marks 640 Kelley Road Pittston, ME, 04345	Support Tim Marks PAYMENT OF \$400.00 TO SUPPORT: Tim I. Marks Jurisdiction: State. Party: Democratic. Office: Representative. ELECTION YEAR: 2020.	CON	\$400.00
TOTAL EXPENDITURES TO SUPPORT OR OPPOSE:				\$1,200.00

**SCHEDULE B-1
OPERATING EXPENDITURES**

EXPENDITURE TYPES				
APP	Apparel (t-shirts, hats, embroidery, etc.)	CON	Contribution to party committee, non-profit, other candidate, etc.	
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TVN	TV/cable ads, production, and media buyer costs only	WEB	Website and internet costs (website domain and registration, etc.)	
DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
10/4/2020	equality maine 511 congress st portland, ME, 04102	Gala	EVT	\$258.32
10/5/2020	ACT BLUE 366 SUMMER STREET SOMMERVILLE, MA, 02144	Act Blue Fees	OTH	\$29.48
10/6/2020	QUARRY TAP ROOM 122 WATER ST HALLOWELL, ME, 04347	working lunch	FOD	\$19.19
10/7/2020	Courtney Allen AUGUSTA AUGUSTA, ME, 04330	campaign Consulting	PER	\$1,083.00
TOTAL OPERATING EXPENDITURES				\$1,389.99

Incorrect Amount

**PAGES 50-53 OMITTED FOR
CONFIDENTIALITY**

Balance forward \$0.00 \$0.00

Unpaid balance \$106.93 \$0.00 ↓ \$106.93

Account Charges \$64.50 \$59.50 ↓ \$5.00

CHARLOTTE WARREN \$42.43 \$42.85 ↑ \$0.42

Change total ↓ \$111.51

VERIZON RECEIPT

Balance forward from last bill

Previous balance (through Jun 4) \$213.86

Payment received - Thank you (Jun 10) -\$213.86

Total balance forward \$0.00

Understand "Changes this month"

These changes are why your amount due is different than last month. For example, if you changed your plan or added a line, you'll see partial-month charges reflected in this table. The table will not display if you have no changes.

Surcharges

These cover the costs that are billed to us by federal, state or local governments so we can continue to provide you with the best service. See the full breakdown on go.vzw.com/mybill

Taxes and gov fees

We are required by law to collect these charges, which are based on your service address. You can update your service address on go.vzw.com/changeaddress.

Late fee

You'll be charged a late fee when you don't pay your bill on time. The amount is the greater of \$5 or 15% of the unpaid balance, or as allowed by law in the state of your billing address.

Bill

Current Bill Changes Next Bill History

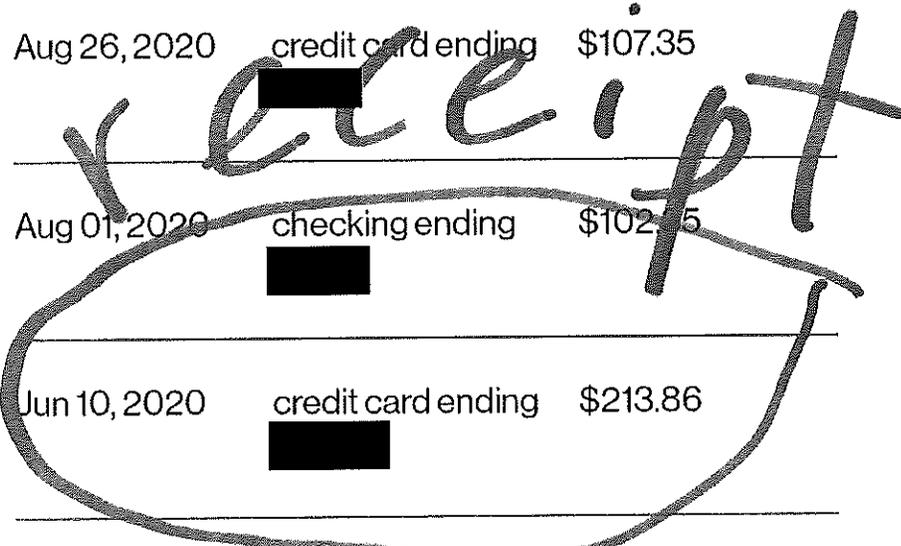
Select a six month date range

Apr 2020 - Sep 2020 ✓



Payment history

Date	Method	Amount
Sep 18, 2020	checking ending [REDACTED]	\$101.32
Aug 26, 2020	credit card ending [REDACTED]	\$107.35
Aug 01, 2020	checking ending [REDACTED]	\$102.15
Jun 10, 2020	credit card ending [REDACTED]	\$213.86
May 15, 2020	checking ending [REDACTED]	\$101.93



Act Blue Records

<u>Date</u>	<u>Amount</u>	<u>Donor First Name</u>	<u>Donor Last Name</u>	<u>Fee</u>
9/14/2020 9:32	\$50.00	Laura	Harper	\$1.98
9/14/2020 14:29	\$250.00	Catherine	Pineau	\$9.88
9/15/2020 11:00	\$25.00	thomas	harnett	\$0.99
9/15/2020 15:50	\$25.00	Sarah	Pebworth	\$0.99
9/15/2020 17:04	\$35.00	Lynne	Williams	\$1.39
9/15/2020 18:21	\$50.00	Jeff	Kirlin	\$1.98
9/15/2020 22:11	\$50.00	Sam	Zager	\$1.98
9/16/2020 7:49	\$50.00	Barbara	Wood	\$1.98
9/16/2020 10:50	\$50.00	Lois	Reckitt	\$1.98
9/16/2020 10:57	\$50.00	Mary Ann	Lynch	\$1.98
9/16/2020 11:44	\$10.00	Sarah	Lawrence	\$0.40